# EXODUSPOINT | GLBA POLICY | NOVEMBER 2021

| **FACTS** | **WHAT DO EXODUSPOINT CAPITAL MANAGEMENT, LP AND ITS RELYING ADVISERS (COLLECTIVELY, "EXODUSPOINT") DO WITH YOUR PERSONAL INFORMATION?** |
|---|---|
| **Why?** | Financial companies choose how they share your personal information.  Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do. |
| **What?** | The types of personal information we collect and share depend on the product or service we provide to you. This information can include:<br>■  Social Security number and assets;<br>■  Account balances and transaction history; and<br>■  Investment experience and wire transfer instructions. |
| **How?** | All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons ExodusPoint chooses to share; and whether you can limit this sharing. |

| Reasons we can share your personal information | Does ExodusPoint share? | Can you limit this sharing? |
|---|---|---|
| **For our everyday business purposes –** such as to process your transactions, maintain your account(s), respond to court orders, regulatory requests and legal investigations, or report to credit bureaus or as required by any regulation | Yes | No |
| **For our marketing purposes –** to offer our products and services to you | Yes | No |
| **For joint marketing with other financial companies** | No | We don't share |
| **For our affiliates' everyday business purposes –** information about your transactions and experiences | Yes | No |
| **For our affiliates' everyday business purposes –** information about your creditworthiness | No | We don't share |
| **For our affiliates to market to you** | Yes | Yes |
| **For nonaffiliates to market to you** | No | We don't share |

| **To limit our sharing:** | ■  Call (646) 940-9600<br>**Please note:**<br>If you are a *new* customer, we can begin sharing your information 30 days from the date we sent this notice. When you are *no longer* our customer, we may continue to share your information as described in this notice.<br>However, you can contact us at any time to limit our sharing. |
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| **Questions?** | Call (646) 940-9600 |

| Who we are | |
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| **Who is providing this notice?** | ExodusPoint |

| What we do | |
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| **How does ExodusPoint protect my personal information?** | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. |
| **How does ExodusPoint collect my personal information?** | We collect your personal information, for example, when you:<br>■ Give us your contact information;<br>■ Open an account or buy securities from us; and<br>■ Tell us where to send the money or make a wire transfer.<br>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies. |
| **Why can't I limit all sharing?** | Federal law gives you the right to limit only:<br>■ sharing for affiliates' everyday business purposes – information about your creditworthiness;<br>■ affiliates from using your information to market to you; and<br>■ sharing for nonaffiliates to market to you.<br>State laws and individual companies may give you additional rights to limit sharing. |
| **What happens when I limit sharing for an account I hold jointly with someone else?** | Your choices will apply to everyone on your account. |

| Definitions | |
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| **Affiliates** | Companies related by common ownership or control. They can be financial and nonfinancial companies.<br>■ *Our affiliates include companies with an ExodusPoint name.* |
| **Nonaffiliates** | Companies not related by common ownership or control. They can be financial and nonfinancial companies.<br>■ *ExodusPoint does not share with nonaffiliates so they can market to you.* |
| **Joint marketing** | A formal agreement between nonaffiliated financial companies that together market financial products or services to you.<br>■ *ExodusPoint doesn't jointly market.* |